# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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FILE COPY

# STATE OF WISCONSIN BEFORE THE REAL ESTATE BOARD

IN THE MATTER OF THE DISCIPLINARY : PROCEEDINGS AGAINST :

ERIC D. QUAM,
RESPONDENT

FINAL DECISION AND ORDER 97 REB 066

LS9712115REB

The parties to this action for the purposes of section 227.53 of the Wisconsin statutes are:

Eric D. Quam W7053 Hwy 16 Pardeeville, WI 53954

Real Estate Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

# **FINDINGS OF FACT**

- 1. Eric D. Quam (D.O.B. 4/17/62) is duly licensed to practice as a Real Estate Broker in the state of Wisconsin (license #45271). This license was first granted on October 8, 1991.
- 2. Mr. Quam's most recent address on file with the Wisconsin Real Estate Board is W7053 Hwy. 16, Pardeeville, WI 53954.

- 3. On his application for license renewal for the 1997-98 biennium, Mr. Quam checked the box that indicated he had taken and passed the test out examination for continuing education required for licensure renewal. Mr. Quam had in fact not taken the test out examination or taken the continuing education courses required for license renewal at the time he submitted his license renewal application.
- 4. In March. 1997, Mr. Quam successfully completed the continuing education courses required for the 1997-98 license biennium renewal.
- 5. In resolution of this matter, Mr. Quam consents to the following Conclusions of Law and Order.

## **CONCLUSIONS OF LAW**

- 1. The Wisconsin Real Estate Board has jurisdiction over this matter, pursuant to sec. 452.14, Stats.
- 2. By the conduct described above, respondent violated secs. 452.12 (5) (c) and 452.14(3), Stats.

### **ORDER**

# NOW, THEREFORE, IT IS HEREBY ORDERED that:

- 1. The Real Estate Broker license (license #45271) of Eric D. Quam shall be SUSPENDED for a period of 30 DAYS to commence on a date fifteen days following the signing of this Order.
- 2. The Real Estate Broker license (license #45271) of Eric D. Quam is LIMITED as follows:
  - a. In conjunction with any application for renewal or reinstatement of licensure with this Department, the Respondent must provide independent documentation acceptable to the Board attesting to completion or other satisfaction of the continuing education requirement(s) for the licensure period in question.
  - b. Within thirty (30) days from date of this Order, the Respondent shall pay to the Department of Regulation and Licensing a FORFEITURE of TWO HUNDRED AND FIFTY dollars (\$250.00). Payment shall be made by certified check or money order and payable to the Wisconsin Department of Regulation and Licensing.
  - c. Within thirty (30) days from date of this Order, the Respondent shall pay to the Department of Regulation and Licensing partial COSTS of the investigation and prosecution of this action in the sum of ONE HUNDRED dollars (\$100.00). Payment shall be made by certified check or money order and payable to the Wisconsin Department of Regulation and Licensing.

3. The Department Monitor is the individual designated by the Board as its agent to coordinate compliance with the terms of this Order, including receiving and coordinating all reports and documentation. The Department Monitor may be reached as follows:

Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935 FAX (608) 266-2264 TEL. (608) 267-7139

This Order shall become effective upon the date of its signing.

REAL ESTATE BOARD

On behalf of the Board

12/11/97 Date

## STATE OF WISCONSIN BEFORE THE REAL ESTATE BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

ERIC D. QUAM
RESPONDENT

STIPULATION 97 REB 066

It is hereby stipulated between Eric D. Quam, personally on his own behalf and Steven M. Gloe, Attorney for the Department of Regulation and Licensing, Division of Enforcement, as follows that:

- 1. This Stipulation is entered into as a result of a pending investigation of Mr. Quam's licensure by the Division of Enforcement (97 REB 066). Mr. Quam consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.
- 2. Mr. Quam understands that by the signing of this Stipulation he voluntarily and knowingly waives his rights, including: the right to a hearing on the allegations against him, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against him; the right to call witnesses on his behalf and to compel their attendance by subpoena; the right to testify himself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 3. Mr. Quam is aware of his right to seek legal representation and has been provided an opportunity to obtain legal advice prior to signing this stipulation.
- 4. Mr. Quam agrees to the adoption of the attached Final Decision and Order by the Real Estate Board. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of.

  Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this stipulation agree that member of the Board assigned to this case as an advisor and the attorney for the Division of Enforcement may appear before the final decision maker in these proceedings for the purposes of speaking in support of this agreement and answering questions that the final decision maker may have in connection with him or his deliberations on the stipulation.
- 7. The Division of Enforcement joins Mr. Quam in recommending the Real Estate Board adopt this Stipulation and issue the attached Final Decision and Order.

Eric D. Ouam

Date

Steven M. Gloe, Attorney Division of Enforcement

Date

# Department of Regulation & Licensing

State of Wisconsin

P.O. Box 8935, Madison, WI 53708-8935

(608)

TTY# (608) 267-2416 hearing or speech TRS# 1-800-947-3529 impaired only

## **GUIDELINES FOR PAYMENT OF COSTS AND/OR FORFEITURES**

On December 11, 1997	, the Real Est	ate Board
took disciplinary action agai forfeiture.	nst your license. Part of	the discipline was an assessment of costs and/or a
The amount of the costs assess	sed is: \$100.00	Case #: LS9712115REB
The amount of the forfeiture is	s: \$250.00	Case # LS9712115REB
Please submit a check or a mo	ney order in the amount of	\$ 350.00
The costs and/or forfeitures ar	e due: <u>January 10, 1998</u>	
NAME: Eric D. Quam		LICENSE NUMBER: 45271
STREET ADDRESS: W705	3 Highway 16	
CITY: Pardeeville		STATE: WI ZIP CODE: 53954
Check whether the payment is	for costs or for a forfeiture	or both:
X COSTS	X FO	RFEITURE
Check whether the payment is	for an individual license of	an establishment license:
X INDIVIDU	AL EST	TABLISHMENT
If a payment plan has been est	ablished, the amount due m	onthly is: For Receipting Use Only
Make checks payable to:		
DEPARTMENT OF R 1400 E. WASHINGTO P.O. BOX 8935 MADISON, WI 53708	ŕ	ENSING
#2145 (Rev. 9/96) Ch. 440.22, Stats. G:\BDLS\FM2145.DOC	Committed to Equal Opportunity	in Employment and Licensing+

# STATE OF WISCONSIN DEPARTMENT OF REGULATION AND LICENSING BEFORE THE REAL ESTATE BOARD

In the Matter of the Disciplinary Proceedings Against

Eric D. Quam,

AFFIDAVIT OF MAILING

Respond	dent.	
STATE OF WISCONSIN	)	
COUNTY OF DANE	)	

- I, Kate Rotenberg, having been duly sworn on oath, state the following to be true and correct based on my personal knowledge:
  - 1. I am employed by the Wisconsin Department of Regulation and Licensing.
- 2. On December 15, 1997, I served the Final Decision and Order dated December 11, 1997, Guidelines for Brokers whose Licenses have been Suspended or Revoked, and Guidelines for Payment of Costs and/or Forfeitures, LS9712115REB, upon the Respondent Eric D. Quam by enclosing true and accurate copies of the above-described documents in an envelope properly stamped and addressed to the above-named Respondent and placing the envelope in the State of Wisconsin mail system to be mailed by the United States Post Office by certified mail. The certified mail receipt number on the envelope is P 221 157 657.
- 3. The address used for mailing the Decision is the address that appears in the records of the Department as the Respondent's last-known address and is:

Eric D. Quam W7053 Highway 16 Pardeeville WI 53954

Kate Rotenberg

Department of Regulation and Licensing

Office of Legal Counsel

Subscribed and sworn to before me

this

day of **Clubel**, 199

Notary Public, State of Wisconsin My commission is permanent.

#### NOTICE OF RIGHTS OF APPEAL

TO: ERIC D QUAM

You have been issued a Final Decision and Order. For purposes of service the date of mailing of this Final Decision and Order is  $\frac{12/15/97}{}$ . Your rights to request a rehearing and/or judicial review are summarized below and set forth fully in the statutes reprinted on the reverse side.

#### A. REHEARING.

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in section 227.49 of the Wisconsin Statutes. The 20 day period commences on the day of personal service or the date of mailing of this decision. The date of mailing of this Final Decision is shown above.

A petition for rehearing should name as respondent and be filed with the party identified below.

A petition for rehearing shall specify in detail the grounds for relief sought and supporting authorities. Rehearing will be granted only on the basis of some material error of law, material error of fact, or new evidence sufficiently strong to reverse or modify the Order which could not have been previously discovered by due diligence. The agency may order a rehearing or enter an order disposing of the petition without a hearing. If the agency does not enter an order disposing of the petition within 30 days of the filling of the petition, the petition shall be deemed to have been denied at the end of the 30 day period.

A petition for rehearing is not a prerequisite for judicial review.

#### B. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in section 227.53, Wisconsin Statutes (copy on reverse side). The petition for judicial review must be filed in circuit court where the petitioner resides, except if the petitioner is a non-resident of the state, the proceedings shall be in the circuit court for Dane County. The petition should name as the respondent the Department, Board, Examining Board, or Affiliated Credentialing Board which issued the Final Decision and Order. A copy of the petition for judicial review must also be served upon the respondent at the address listed below.

A petition for judicial review must be served personally or by certified mail on the respondent and filed with the court within 30 days after service of the Final Decision and Order if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing. Courts have held that the right to judicial review of administrative agency decisions is dependent upon strict compliance with the requirements of sec. 227.53 (1) (a), Stats. This statute requires, among other things, that a petition for review be served upon the agency and be filed with the clerk of the circuit court within the applicable thirty day period.

The 30 day period for serving and filing a petition for judicial review commences on the day after personal service or mailing of the Final Decision and Order by the agency, or, if a petition for rehearing has been timely filed, the day after personal service or mailing of a final decision or disposition by the agency of the petition for rehearing, or the day after the final disposition by operation of the law of a petition for rehearing. The date of mailing of this Final Decision and Order is shown above.

The petition shall state the nature of the petitioner's interest, the facts showing that the petitioner is a person aggrieved by the decision, and the grounds specified in section 227.57, Wisconsin Statutes, upon which the petitioner contends that the decision should be reversed or modified. The petition shall be entitled in the name of the person serving it as Petitioner and the Respondent as described below.

#### SERVE PETITION FOR REHEARING OR JUDICIAL REVIEW ON:

STATE OF WISCONSIN REAL ESTATE BOARD

P.O. Box 8935
Madison WI 53708-8935